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United States of America

**INTER-AMERICAN DRUG ABUSE  
CONTROL COMMISSION**

**CICAD**

**Organization of American States**

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**REPORT OF ACTIVITIES OF THE EXECUTIVE SECRETARIAT (SE/CICAD) 2013-2014**

**XXXIX GROUP OF EXPERTS FOR THE CONTROL OF MONEY LAUNDERING**

**MONTEVIDEO, URUGUAY**



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**REPORT OF ACTIVITIES OF THE EXECUTIVE SECRETARIAT (SE/CICAD)**

### **Report of Activities of the Executive Secretariat (ES/CICAD)**

The Executive Secretariat (ES) reports that the activities conducted by the Anti-Money Laundering Section of CICAD/OAS during the 2013-2014 period were determined by GELAVEX in the **XXXVII Meeting of the Group of Experts for the Control of Money Laundering**, held in **Brasilia, Brazil**, in September 2013; as well as the mandates of CICAD's Fifty-Fourth Ordinary Session held in December of the same year.

Thus, the Executive Secretariat of CICAD has created numerous *technical assistance and training activities* during the reporting period, as follows:

Within the framework of the **Seized and Forfeited Asset Management Project in Latin America (BIDAL)**, various activities have been carried out: a) In the course of the last quarter of 2013, we have worked on the **Proposal for Improving the Management System of Seized Assets**, along with the writing and official presentation of the Final Document to the national authorities in the **Dominican Republic**, drafted by the Interagency Working Group (GTI) and with the help of the ES/CICAD; b) The ES/CICAD also supported and participated in the **"Conference for the Exchange of Experiences on Managing Seized Assets from Drug Trafficking and Money Laundering"** held from the 29<sup>th</sup> to 31<sup>st</sup> of October 2013 in Montevideo, Uruguay, which was executed within the framework of the EU's technical assistance program through the Consortium COPOLAD, in which the participation of delegations from various countries in Latin America and their counterparts in the EU member countries; c) Also, the ES/CICAD actively participated in the **"International Expert Meeting on Seized and Confiscated Assets Management,"** convened by the UNODC and held from the 2<sup>nd</sup> to 4<sup>th</sup> of April 2014 in Reggio Calabria, Italy; and d) Finally, during March 2014, the Agreement between the ES/CICAD and UNODC-Brazil for the implementation of the BIDAL Project in the Federal Republic of Brazil was signed, and on the 14<sup>th</sup> and 15<sup>th</sup> of August, the presentation of the Project took place in front of the Brazilian authorities.

It is expected that, during 2015, the BIDAL Project will spread to Colombia, Paraguay, and Peru.

As for technical assistance to **strengthen the capacities of law enforcement agencies**, during the 17<sup>th</sup> to 21<sup>st</sup> of March 2014, the **Regional Workshop "Mock Investigation"** was executed in Guatemala about a Money Laundering and Organized Crime case, in which 29 officials, including prosecutors and investigators from Guatemala, Honduras, El Salvador, Nicaragua, and Peru, who participated.

Within the **Money Laundering Training Program for Judicial Officials** that the ES/CICAD is currently running, there have been different courses for judges and prosecutors: a) In San Salvador, the **Anti-Money Laundering (AML) Course for Judges and Prosecutors** was held from the 8<sup>th</sup> to 11<sup>th</sup> of October 2013, in which 52 officials of the agencies involved in combating money laundering participated; b) Also, the ES/CICAD was actively involved in the organization and development of the **"Second Training Course for Fighting Proceeds of Crime and Financing of Terrorism,"** targeting more than 100 judicial officers, including judges and prosecutors. The training session was organized by the Financial Investigation Unit of Bolivia and the Coordination Committee (MECOOR) and composed of the Secretariat of the Inter-

American Drug Abuse Control Commission and Inter-American Committee against Terrorism (OAS/SMS/CICAD and CICTE), the Executive Director of the Counter-Terrorism Committee of the UN (CTED), the United Nations Office on Drugs and Crime (UNODC/TPB), and the Executive Secretariat of FATF. This course was held from October 28<sup>th</sup> to November 1<sup>st</sup>, 2013 in Santa Cruz de la Sierra, Bolivia.

The ES/CICAD, within the **Implementation of Technical Assistance Plan to Anti-Money Laundering in Peru**, which has been developed under active participation and collaboration of the Implementation and Monitoring Committee of the National Strategy to Combat Money Laundering Actives of Peru (CONTRALAFT) have carried out the following activities: a) From the 21<sup>st</sup> to 25<sup>th</sup> of October 2013, a **Second Public/Private Sector Dialogue and Training Program against Money Laundering and Financing Terrorism** was carried out, with the participation of about 65 officials, compliance officers, supervisors of regulated entities and law enforcement officials, in which private sector entities were trained by the public sector; b) Between the 3<sup>rd</sup> and 7<sup>th</sup> of February 2014, Lima carried out a **“Workshop on Forensic Auditing Procedures Applied to the Investigation of Money Laundering Cases,”** aimed at Analysts from the Financial Intelligence Units (FIU) and the areas related to the Superintendency of Banking, Insurance and AFP of Peru; c) From the 17<sup>th</sup> to 19<sup>th</sup> of February 2014, a **Specialized Workshop Preceding AML Crime Corruption** was carried out in Lima, which involved about 60 Peruvian officials, including prosecutors, judges, attorneys, investigators and analysts of the FIU; d) During the 24<sup>th</sup> to 27<sup>th</sup> of March 2014, a **“Workshop for Strengthening the Chain of Custody”** was developed in Lima, in which 53 officials participated, including prosecutors, attorneys, and investigators from the National Police of Peru; e) On March 28<sup>th</sup>, 2014, in the city of Lima, the **official launch of the book “Combating Money Laundering from the Judicial System. Special Edition for Peru”** was held before the audience of about 30 officials of the institutions that make up the CONTRALAFT, especially the judicial sector, the National Police and the FIU of Peru, and in which two of the authors of that book discoursed making an executive summary of the content of the work; f) During the 1<sup>st</sup> to 3<sup>rd</sup> of April 2014, a second course was held in Lima on **“Link Analysis and Relations,”** aimed towards 17 officials, including prosecutors and financial analysts from the FIU of Peru; g) During the 22<sup>nd</sup> to 25<sup>th</sup> of April 2014, the second **“Workshop on Forensic Auditing Procedures Applied to the Investigation of Money Laundering Cases”** was held in Lima, aimed at Analysts from the Financial Intelligence Units (FIU) and the areas related to the Superintendency of Banking, Insurance and AFP of Peru, and aimed at the strengthening of the capacities of officials in charge of management analysis for financial investigation and the use of forensic accounting in cases of ML/FT; h) Finally, from the 13<sup>th</sup> to the 15<sup>th</sup> of August 2014, a course was held in Lima on **“Link and Relationship Analysis on Money Laundering Investigations Training,”** aimed at 17 officials, including prosecutors and financial analysts of the FIU of Peru.

In the framework of the **Capacity Strengthening of Financial Intelligence Units**, the ES/CICAD conducted the following activities: a) In Miami, Florida, the **“Regional Workshop on Strategic Analysis AML/CFT for FIUs”** was conducted, from the 3<sup>rd</sup> to 7<sup>th</sup> of March 2014, in participation of around 31 officials of the Financial Intelligence Units from Central America and the Caribbean. A second regional workshop on “Strategic Analysis for FIUs” from South America is to be developed in the course of the second half of 2014; and b) During the 24<sup>th</sup> to 27<sup>th</sup> of June 2014, together with the Inter-American Committee against Terrorism (CICTE), a **Specialized National Workshop against Terrorism and its Financing** was held in Barbados.

On the other hand, the ES/CICAD has participated in several international cooperation activities within the period 2013-2014, namely:

In Rio de Janeiro, Brazil, the ES/CICAD participated and supported **the XIII FATF Evaluators Seminar**, which was held from the 4<sup>th</sup> to 8<sup>th</sup> of November 2013, with the participation of national coordinators and evaluators from each of the member countries of FATF, as well as FATF, Mozambique, Portugal, UNODC and CICAD/OAS representatives.

In Brasilia, Brazil the **VI Meeting of the Working Group on Legal Cooperation in Legal Matters (REMJAs)** was held between the 15<sup>th</sup> and 16<sup>th</sup> of May 2014, a meeting at which the ES/CICAD presented and actively participated in as observers of the Group sessions, experiences and best practices were exchanged on the issue of international cooperation for the recovery of assets, and the advances that GELAVEX made in this field were exposed in order to generate synergies with other OAS bodies.

During the dates of the 26<sup>th</sup> to 30<sup>th</sup> of May 2014, the **XXXIX Plenary Meeting of the Financial Action Task from the Caribbean** was held in Miami, Florida, during which the ES actively participated in the working sessions and presented to the distinguished representatives the respective activities report in order to generate synergies with said Organization, donors, and other entities specialized in AML/CFT subjects.

During the 26<sup>th</sup> to 30<sup>th</sup> of May 2014, the **9<sup>th</sup> Meeting of GAFISUD's Assets Recovery Network (RRAG)** was held in San José, Costa Rica; a meeting in which the ES/CICAD participated as speaker and observer of the meetings of this network for the exchange of experiences in the subject of asset recovery from criminal origin.

Inside the **Legislative Assistance Program**, the ES/CICAD conducted a **Follow-Up Visit to Dominica**, from the 5<sup>th</sup> to 7<sup>th</sup> of May 2014, in order to continue to advise the national authorities in the development of their regulations AML/CFT, and comply with the 40 recommendations from FATF.

From the 22<sup>nd</sup> to 23<sup>rd</sup> of May 2014, the **XXXVIII Meeting of Experts to Control Money Laundering and Sub-Working** was held in Washington D.C., United States of America. Its goal was to follow up the Work Plan approved by the plenary in September of 2013, in Brasilia, Brazil, and by the CICAD in December of the same year, the same plenary approved the deliberations of this Group of Experts in its 54<sup>th</sup> Ordinary Session held in Bogota, Colombia, highlighting the production of important products such as: a "Guide to Self-Assessment of the Confiscation and Asset Management System"; a "Study on International Cooperation Mechanisms" (formal and informal); "Recommendations for Identification and Analysis of Risk Factors Associated with Money Laundering and Terrorist Financing at the Hemispheric Level"; a "Methodological Guide in the Field of Patrimonial Investigation"; and "Recommendations for Improvement of Anti-Money Laundering Systems at the Level of the Member States of the OAS."

During the 7<sup>th</sup> to the 11<sup>th</sup> of July 2014, the **XXIX Plenary Session of GAFISUD** was held in **Cartagena, Colombia**, in which the ES was actively involved in the working sessions and presented the respective report of activities to the distinguished representatives in order to generate synergies with this Organization, donors, and other entities specialized in AML/CFT subjects.

Within the framework of the initiative MECOOR – integrated by the Secretariats of the Inter-American Drug Abuse Control Commission and the Inter-American Committee against Terrorism (OAS/SMS/CICAD and CICTE), the Executive Directorate of the UN Counter-Terrorism Committee (CTED), the Terrorism Prevention Branch of the United Nations Office on Drugs and Crime (UNODC/TPB) and the Executive Secretariat of GAFISUD – two regional workshops were held (with funding from CICAD and CICTE), called **"Subregional Workshop on Combating Money Laundering and Financing Terrorism: Current Risks and**

**Trends.”** The first workshop was held from the 23<sup>rd</sup> to 25<sup>th</sup> of July 2014 in Guatemala, it was directed at Central American countries (Guatemala, El Salvador, Costa Rica, and Panama) and was attended by 38 officials, including prosecutors, FIU analysts, and police. The second workshop took place from the 25<sup>th</sup> to the 28<sup>th</sup> of August 2014 in Santiago, Chile, it was directed at South American countries (Argentina, Brazil, Chile, Paraguay, and Uruguay), and more than 35 officials participated, including prosecutors, police, and FIU analysts.